

**Receiving IRA Trustee's or Custodian's Name and Address:****Overnight Delivery**

Towle & Co  
C/O UMB Fund Services, Inc  
235 W Galena Street  
Milwaukee WI 53212

**Regular Mail Delivery**

Towle & Co  
PO Box 2175  
Milwaukee WI 53201-2175

*The IRA Transfer Request is used to facilitate the transfer of assets between two IRAs. This form should not be used to facilitate a transfer to an Inherited IRA, a rollover of qualified plan assets to an IRA or a conversion of Traditional, SEP or SIMPLE IRA assets to a Roth IRA. To transfer the account of a deceased shareholder, please use the Inherited IRA Transfer Request form.*

**PART I: IRA OWNER INFORMATION (RECEIVING IRA)**

Name: \_\_\_\_\_ Taxpayer ID Number: \_\_\_\_\_ Date of Birth: \_\_\_\_\_

Residence Address: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Primary Phone: \_\_\_\_\_ Email Address: \_\_\_\_\_

**PART II: CURRENT IRA TRUSTEE/CUSTODIAN INFORMATION**

IRA Trustee/Custodian Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Address: \_\_\_\_\_

**PART III: TRANSFER INSTRUCTIONS**

*Check the box below to request an IRA-to-IRA transfer.*

- I request to transfer the current IRA to the receiving IRA as described in Part V. I understand that if there is a required distribution associated with the transferred assets for the current year, it is my responsibility to withdraw the required distribution from the receiving IRA (or another eligible IRA) before the end of the calendar year, if the required distribution was not satisfied prior to the transfer.

**PART IV: TRANSFER DESCRIPTION (Select One)**

Traditional/SEP IRA to Traditional/SEP IRA Current IRA Account/Plan Number: \_\_\_\_\_

Receiving IRA Account/Plan Number: \_\_\_\_\_

SIMPLE IRA to SIMPLE IRA Current IRA Account/Plan Number: \_\_\_\_\_

Receiving IRA Account/Plan Number: \_\_\_\_\_

Roth IRA to Roth IRA Current IRA Account/Plan Number: \_\_\_\_\_

Receiving IRA Account/Plan Number: \_\_\_\_\_

SIMPLE IRA to Traditional/SEP IRA\* Current IRA Account/Plan Number: \_\_\_\_\_

Receiving IRA Account/Plan Number: \_\_\_\_\_

*\*You may not transfer SIMPLE IRA assets to a Traditional/SEP IRA until at least two years have elapsed from the time of your initial participation in your employer's SIMPLE IRA plan.*

**PART V: LIQUIDATION INSTRUCTIONS**

I authorize and direct the current IRA Trustee/Custodian to liquidate assets as follows (select one).

- Immediately liquidate all assets and send the cash proceeds to the receiving IRA Trustee/Custodian.
- Partially liquidate \$\_\_\_\_\_ of the current IRA and send the proceeds to the receiving IRA Trustee/Custodian. (Additional written liquidation instructions may be required.)
- Transfer in-kind
- Other (describe): \_\_\_\_\_

**PART VI: INVESTMENT SELECTION (RECEIVING IRA)**

Name of Investment	Share Class (if applicable)	Allocation
1.		\$_____ or _____%
2.		\$_____ or _____%
3.		\$_____ or _____%
TOTAL		\$_____ or _____%

Addendum attached for additional investment selections. If you need additional space to make investment selections, attach a separate sheet that includes all of the information requested above. Sign and date the sheet.

**PART VII: TRANSFER INSTRUCTIONS**

- By Check:  
Make check payable as follows: Towle Deep Value Fund as Custodian  
FBO \_\_\_\_\_ (Name of IRA Owner)  
Traditional, SEP, SIMPLE or Roth IRA (as applicable)

Please mail check to:

**Regular Mail Delivery**  
 Towle Deep Value Fund  
 PO Box 2175  
 Milwaukee, WI 53201-2175

**Overnight Delivery**  
 Towle Deep Value Fund  
 C/O UMB Fund Services, Inc  
 235 W Galena Street  
 Milwaukee, WI 53212

- By Wire      For wire instructions call 888-998-6953

**PART VIII: ACKNOWLEDGEMENTS**

By signing this *IRA Transfer Request*, I certify that the information I have provided is true and correct. I authorize the current IRA Trustee/Custodian to transfer the IRA assets as instructed above. I understand that I am responsible for ensuring I am eligible to authorize this transfer and I assume all responsibilities for any consequences that arise resulting from my actions or inactions. I agree to indemnify and hold both the current IRA Trustee/Custodian and the receiving IRA Trustee/Custodian harmless from any consequences related to executing my directions. I have been advised to seek competent legal and tax advice and have not been provided any such advice either the current IRA Trustee/Custodian or the receiving IRA Trustee/Custodian. I also understand that if this transfer involves a SIMPLE IRA, or if I am subject to the required minimum distribution requirements, special rules apply; and I assume responsibility for my actions or inactions regarding those issues.

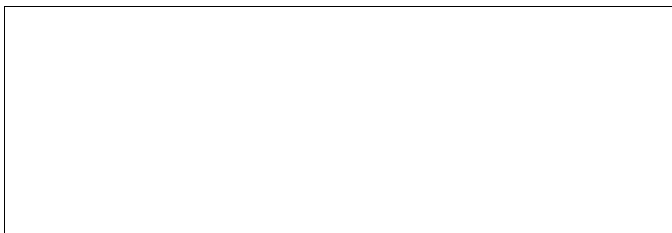
Signature of IRA Owner (or other authorized person): \_\_\_\_\_ Date: \_\_\_\_\_

If the owner of the IRA account is a minor, the responsible person designated on the current IRA account needs to sign this form.

**PART IX: ACCEPTANCE**

By signing below, UMB Bank, n.a, agrees to accept this transfer as instructed above.

Signature of Receiving IRA Trustee/Custodian Representative: \_\_\_\_\_ Date: \_\_\_\_\_



Medallion signature guarantee (if required)

*Please check with your current trustee/custodian to determine if a Medallion signature guarantee is required to process this transfer.*  
A Medallion signature guarantee may be obtained from any eligible guarantor institution. These institutions include U.S. banks, savings associations, credit unions and brokerage firms participating in the Securities Transfer Association Medallion Program. Approved programs currently include STAMP, SEMP and MSP. **A notary public stamp or seal is not acceptable.**